

Projektgebundene Beiträge 2021-2024 nach HFKG**Projektantrag**

(einzureichen durch swissuniversities bis Ende Februar 2020)

Projekttitle: SUDAC 2 - swissuniversities development and cooperation network**1 Kurze Umschreibung des Projekts (in Deutsch oder Französisch; max. 20 Zeilen)**

Das Vorhaben will die Zielsetzungen von SUDAC-1 konsolidieren und um eine wichtige Komponente im Globalen Süden erweitern: den „Clusters of Cooperation“ (CLOCs). Im Rahmen von SUDAC wurden CLOCs verschiedener Hochtultypen zu ausgewählten Themen der Sustainable Development Goals (SDGs) im Bereich Forschung und Lehre entwickelt. Diese thematischen Verbünde (COFERs) beinhalten neben verschiedenen Hochschulinstitutionen in der Schweiz jeweils auch Partnerinstitutionen (Hochschulen und NGOs) im Globalen Süden. Im Rahmen von SUDAC-2 sollen in Ländern und Regionen, in denen jeweils mehrere COFERs tätig sind, lokale Koordinationsstrukturen ermöglicht werden (CLOCs). Diese CLOCs werden die Effizienz der einzelnen Projekte und deren Sichtbarkeit vor Ort erhöhen. Auch werden sie die für die Erreichung der SDGs zentrale Inter- und Transdisziplinarität stärken. In einem zweiten Schritt werden lokale Planungskapazitäten geschaffen, um den Einfluss lokaler Akteure aus Wissenschaft und Gesellschaft auf die Projektaktivitäten zu erhöhen. Die CLOCs werden auch für weitere, bisher nicht an SUDAC beteiligte Hochschulinstitutionen zugänglich sein. Sie werden in den ausgewählten Regionen als „öffentliches Gut“ für Schweizer Forschungs- und Lehrinstitutionen im Bereich der SDGs funktionieren. Damit leisten sie einen wichtigen Beitrag an die Erreichung der diesbezüglichen Ziele der Schweiz in der Forschungspolitik und in der internationalen Zusammenarbeit (IZA). Grundlage für die Langfristigkeit bilden die Interessen der beteiligten Hochschulinstitutionen und der Mehrwert, den die „bottom up“ entstandenden Projekte und Netzwerke für die IZA und die Schweizer Forschungspolitik generieren.

2 Beantragter Bundesbeitrag 2021-2024

CHF 2.0 Mio.

**3 Anfangs- und Enddatum der beantragten Projektfinanzierung
(Beginn frühestens 1.1.2021, Ende spätestens 31.12.2024)**

Anfang: 1.1.2021

Ende: 31.12.2024

4 Projektleitung – Ansprechpartner/in für die SHK / SBFI und die Expert/innen

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6 Kooperationspartner

Beitragsberechtigte Hochschulen und andere Institutionen des Hochschulbereichs
(gem. Liste Dok. 137/17)

Universität Basel

Universität Bern

Univesité de Genève

Universität Zürich

Università della Svizzera italiana

EPF Lausanne

ETH Zürich

Berner Fachhochschule

Fachhochschule Ostschweiz

Haute Ecole Spécialisée de Suisse occidentale

Scuola universitaria professionale della Svizzera italiana

The following 5 UTEs will share a common seat within the SUDAC Assembly under the lead of the PH Zürich:

HEP-BEJUNE

Interkantonale Hochschule für Heilpädagogik

PH Luzern

PH Zürich

PH Zug

Additional Swiss HEIs can always join the SUDAC Assembly.

Übrige Partner:

Kommission für Forschungspartnerschaften mit Entwicklungsländern (KFPE)

Höhere Fachschule Technik Mittelland (HFTM)

Direktion für Entwicklung und Zusammenarbeit (DEZA) (cf. beigelegtes Unterstützungsschreiben)

7 Projektbeschreibung

Siehe Mandat Hochschulrat vom 23.11.2017 (Dok. 136A/17, insb. Punkt 3.3.2)

7.1 Ausgangslage, Problemanalyse (*Hintergrund, spezifische Fragestellungen, die das Projekt behandeln / lösen soll*)

Original objectives

The seventeen sustainable development goals (SDGs) adopted by the UN General Assembly in 2015 aim at balancing the three dimensions of sustainable development (i.e. the economic, social and environmental dimension) in order to fulfill the objectives set by the Agenda 2030. Areas of critical importance are split into people (hunger, poverty, dignity, equality, health), planet (degradation, consumption, production, natural resource management, climate change), prosperity (economic, social and technological progress), peace (just and inclusive societies, freedom from fear and violence), and partnership (global solidarity, needs based approaches). The SDGs reflect a global political program and framework based on a compromise between diverging priorities. Many open questions remain with regard to solutions and pathways to their implementation. Providing adequate competences and insights on this road is seen as a crucial challenge for academic institutions. The latter can only tackle these tasks meaningfully in cooperation with partner institutions from the Global South because these countries face most challenges of global change. It also requires the integration of developing and emerging countries in global networks and improved cross-feeding between education, research, and implementation. Switzerland has an established community of education and research institutions working on global issues together with relevant partners in the Global South. But exchanges across and beyond existing networks were missing. Little cooperation took place between different types of Swiss Higher Education Institutions (HEIs) (i.e. Universities of Teacher Education UTEs, Universities of Applied Sciences and Arts UASAs, Universities/ETH) and between existing partnerships in the Global South. This critique should not be attributed to still running promotion schemes such as the SNSF Research for Development Program (r4d), which focuses on different objectives, but which does not in particular foster cooperation between different types of universities. For these reasons, the idea of the swissuniversities Development and Cooperation Network (SUDAC) was launched in 2015 by the Specialized Group Development and Cooperation of the former Rector's Conferences of UASAs and UTEs (KFH/COHEP) in a joint effort with the Commission for Research Partnerships with Developing Countries (KFPE) of the Swiss Academy of Sciences (SCNAT). After a year-long consultation process, SUDAC was established by 13 Swiss academic institutions. 10 projects (Consortia for Education and Research, COFERs) involving 23 Swiss institutions (and 41 collaborations with institutions in the Global South) were started after they had been selected in two competitive calls.

Objectives achieved

SUDAC has already achieved several of its objectives: a broad network of 23 institutions (among which 6 UTEs, 6 UASAs, 11 Universities / ETH) was established inside Switzerland. The core of SUDAC consists of the following 13 institutions (UNIBAS, UNIBE, UNIFR, UNIGE, UZH, ETH Zürich, BFH, FHNW, FHO, HES-SO, HSLU, SUPSI, PHZH representing the following 7 UTEs: HEP Vaud, PH Graubünden, PH Luzern, PH Schwyz, PH Thurgau, PH Zug; PH Zürich) which also form the SUDAC Assembly. Communication with third parties is assured among other means through a webpage (<https://www.swissuniversities.ch/sudac>) and through an annual conference, which is jointly organized with the KFPE. The first conference, which took place on

December 1st 2017, focused on the potential and limitations of digital tools and approaches in the research, education and policy arenas. The second conference took place on November 23rd 2018. It demonstrated achievements and challenges of the ongoing COFERs and was part of a 3-day-event organized jointly with the SNSF, KFPE and UK Research and Innovation (UKRI). The third conference is scheduled to take place on October 18th 2019 with an international focus on the issue: "Think Global, Act Local: Relevance and Prospects of SDGs for Swiss Universities and their Global South Partners".

- 10 COFERs were selected in two calls (submission deadlines: March 31st 2017 and February 28th 2018). COFER aim at fostering cooperation across different IHE and disciplines within Switzerland who work on one research topic and/or education program in different countries of the Global South.

- 5 CLOCs have been selected at the end of May 2019. CLOCs foster the cooperation between different COFER working in the same region. They will be conducted in the five following strategic regions:

- MENA: Digital Education And Research for MENA - DEAR MENA (HES-SO, UNIBAS/swisspeace – Lebanon, Iran, Palestine, Morocco, Egypt)
- West Africa: Network for Water and Life – CLOC West Africa (FHO, UNIBAS/Swiss TPH - Francophone and anglophone West Africa with priority given to Côte d'Ivoire and Ghana, followed by Senegal and Chad)
- East Africa: Project will be finalized by the end of 2019 (UNIBE, SUPSI, UNIGE – East Africa/Horn of Africa)
- South Asia: Knowledge2Action in South Asian: Environmental Sustainability and Social Wellbeing (HES-SO, UNIL – South Asia including India, Myanmar, Nepal, Sri Lanka)
- Latin America: Cluster of Cooperation in the Tropical Andes-Central America region - Conéctate-A+ (UNIBE, UZH, Tropical Andes and Central America region)

While no further CLOCs are foreseen, cooperation within the CLOCs will be open to all Swiss HEIs.

- The SUDAC Assembly had 7 meetings in 2017, 2018 and 2019. The agenda, the length and the minutes of the meetings prove the very substantial role which the assembly is assuming for SUDAC, both in terms of substance and governance, and allowed for a constructive development of SUDAC. Both the number and the diversity of partner institutions in the Global South involved in the COFERs show the very promising developments of this foreign component of the networks promoted by SUDAC: The COFERs cover all 17 SDGs with the exception of SDG 7 (Affordable and Clean Energy) and SDG 14 (Life Below Water). A strong focus exists on SDG 4 (Quality Education), SDG 3 (Good Health and Well-being), and on SDG 17 (Partnership).

With this, all milestones set for 2017 and 2018 were achieved and equally most milestones of 2019. A slight delay was incurred with the information platform. It will be "live" in spring 2020 and tested during the last six month of SUDAC-1.

Objectives not yet fully achieved and further needs

In an interim assessment of SUDACs achievements, an overview of the partners in Switzerland and in the Global South was produced. It shows that SUDAC as a Swiss and as an international network succeeded in establishing an impressive diversity in terms of the involved institutions, themes, disciplines, and geographic regions. The major task for the remaining time of SUDAC's first phase and hopefully beyond consists in the consolidation of the diverse cooperations established in the Global South. The first step was already achieved with the selection of a first number of CLOCs. It will lead to a geographic concentration on a limited number of regions with the capacity to prosper and to attract further project partners from Switzerland. This consolidation will build on strategies to be developed for each region. Besides the local academic and non-academic partners, the involved Swiss HEIs and SDC coordination offices will participate in the process. This participation will be based on the forthcoming multi-annual planning of both Swiss research and development policies whose forthcoming time frames (2021-24) coincide with the foreseen second phase of SUDAC.

7.2 Projekthinhalt (Detaillierte Beschreibung des Projekts)

The second phase of SUDAC (2021-24) will consolidate the network of cooperation in Switzerland and accomplish its extension in the Global South. The major deliverable will be the establishment of CLOCs. This process is described in more details below. Most of the existing COFERs will continue to operate in the framework of SUDAC-2 but without direct funding from the program. One additional project call (for COFERs) will allow for the involvement of further HEIs and local partners in existing CLOCs. The Swiss network will be consolidated through the implementation of the SUDAC information platform and through the prolongation of the commitments of the involved partner institutions. SUDAC-2 will also enlarge the circle of potential beneficiaries of the infrastructure created by SUDAC both in Switzerland and in the Global South. Diversity will be duly considered at all stages of the program. This will include the composition of program units, the content of program activities and the criteria for interim assessment.

7.3 Ziele (Welches sind die Ziele des Projekts und wie wird der Projekterfolg gemessen und nachgewiesen?)

1) Consolidate a limited number of CLOCs

A limited number of CLOCs (5-7) will be running in countries and regions selected by the Assembly based on a clustering of existing and planned project activities. CLOCs will be established in regions, where several COFERs are active on a relevant number of SDGs. CLOCs should develop into a "public good" open to other Swiss institutions interested in partnering up for research or education projects in the respective region. CLOCs are expected to develop own planning and funding strategies. Local partners will be included in a proper way in these processes, particularly in regard to the thematic agenda and to the exchanges between research, education and policy actors.

2) Extend existing COFERs without program funding

Each COFER should be able to demonstrate its capability to further run at least one project in accordance to its original intentions without program funding. In addition, COFERs should be willing and capable to develop new initiatives with at least parts of

their network. Depending on the assessment by the Assembly, a small number of additional COFERs will be started (based on a single call) in order to fill important thematic gaps and/or to contribute to the consolidation of particular CLOCs. In order to further enlarge the network, existing COFER leaders will not be allowed to submit additional proposals.

3) Secure structural funding for partners in the Global South

While the consolidation of the network in Switzerland will rely to a large extent on self-funding by the participating institutions, the contributions to the partners in the Global South and also the interactions between them and the Swiss partners will continue to need additional funding. The necessary resources will be secured through a stronger commitment of SDC and through the use of new funding schemes by research institutions. These will include recently established SNF-instruments (such as SPIRIT) as well as funding programs from foreign agencies such as UKRI, which are open to Swiss institutions in cooperation with British universities. CLOCs will work attracting third-party co-funding to increase their sustainability.

4) Transfer the platform of SUDAC into a sustainable structure

With the end of SUDAC-2, the network in Switzerland and the CLOCs will work as “public goods” for further Swiss partners interested in developing education and/or research activities together with academic and non-academic partner institutions in the Global South. SUDAC will assure continued commitment of existing partners and a long-term involvement of Swiss institutions in the fields of research, education, and development. Besides the continuation of the established information and communication platform, this will include a Swiss based structure with all participating academic institutions as well as a structure yet to be defined with all cooperation partners in the Global South. CLOCs will be governed according to criteria which will allow for the development of partnerships with new Swiss based partners. The principles of KPFE will be respected in all stages of this processes. When setting up the respective structures, diversity will be duly considered in terms of contents, processes and representation.

7.4 Projektorganisation und Zeitplanung *(Detaillierte Darlegung der Projektstruktur, Governance, Art der Zusammenarbeit sowie eine Zeitplanung mit Milestones)*

1) Organisational Structure:

The organisational structure builds on the institutions established during SUDAC-1:

Overview:

swissuniversities has set SDGs in its strategic priorities → it emphasizes the role and contribution of Swiss HEIs to find solutions to the global challenges faced by our society. This has to be seen on a long term perspective and with the willingness to anchor the SUDAC activities in the long run.

The *Assembly* constitutes the central institution of SUDAC. It is formed by the participating institutions including members of all chambers of swissuniversities. All PI

have an established track record in education or research with countries from the Global South. The Assembly decides about the establishment of COFERS. It is chaired by the Head of Project (HoP). The bulk of the activities take place within the ten COFERS established since the beginning of the project. Leaders of COFERS must belong to a PI. However, non PI may also participate in a COFER. Vice versa, not all members of the Assembly (i.e. PI) need to participate in a COFER. The activities of the COFER provide the basis on which CLOCs are submitted and decided by the Assembly.

Assembly:

The SUDAC Assembly is constituted by the participating institutions. Each PI contributes an annual amount of CHF 20,000.- (half of which in cash). With this amount, it becomes a partner of SUDAC and participates in decision-making according to TORs of the SUDAC Assembly to be developed. The money provided by the PI help to finance events, evaluations, communication and management structures of SUDAC. It may also be used for contributions to one or several COFER. The Assembly takes the strategic decisions of SUDAC. In particular it decides about the establishment of COFER and CLOCs, joint events (i.e. annual conferences), the annual plan and budget as well as the reports and the accounts. The Assembly meets at least twice a year. Each PI decides about its representation in the Assembly. Each PI has one vote.

Consortia for Education and Research (COFERs):

The Consortia for Education and Research (COFERs) constitute the main operational unit of SUDAC: existing COFERs were selected based on two open calls. They are thematically defined, include members of at least two different types of academic institutions (University/ETH, UASAs, UTEs), reflect different disciplinary approaches and include institutional partners from the Global South. A consortium has the task to design activities aiming at the development of curricula, advanced trainings, graduate courses, vocational training, e-learning and massive open online courses (MOOCs), research proposals, joint learning projects etc. Proposals for consortia have to indicate concrete outputs and expected outcome. They follow the vision of providing a comparative advantage to its members through the combination of approaches, institutional cultures and their embeddedness in the network of SUDAC.

Clusters of Cooperation (CLOCs):

CLOCs are established in selected regions in the Global South upon decision by the Assembly. They have to include at least two COFERs, cover several SDGs and be coordinated by a local partner in the Global South. Funding from SDC and other agencies will be thought to provide necessary core funding. CLOCs develop their own planing and budget. They report to the Assembly the same way as COFERs do. In order to balance the necessity of a Swiss-led institution with the goal of participatory networks of global partnerships, we will establish for each CLOC a board representing Swiss and Southern partners to allow for a dialogical process of needs assessment and for mechanisms to readjust the overall goals of the CLOC.

SUDAC Advisory Board:

The Commission for Research Partnerships with Developing Countries (KFPE) acts as an advisory board to SUDAC at the strategic level. For this purpose, the KFPE includes at least one representative of each type of academic institution (University, UASAs, UTEs). The Advisory Board will in particular monitor the implementation of the KFPE

eleven principles on transboundary research partnerships and it will advise on science policy exchanges.

Head of Project (HoP):

The HoP is the manager of SUDAC. He/she chairs the SUDAC Assembly. He/she belongs to a PI. He/she designs a deputy (from a different PI). He/she is responsible towards the SERI and he/she is the line manager of the Project Coordinator (PC).

Project Coordinator (PC):

The PC is responsible for the daily management. He/she reports to the HoP and participates in the meetings of the SUDAC Assembly (without voting right). He/she drafts the planning and reporting documents. He/she is based in Bern within the secretariat of swissuniversities.

Head of COFER (HoC):

The HoC is decided by the respective COFER. Granting of funds for consortia considers an appropriate distribution of leading houses between the different types of academic institutions. The HoCs is responsible for planning, budgeting, reporting and the accounts of their COFER. They include cooperation with partners from the Global South in their planning and budget. For their administrative tasks they are supported by the PC. All HoCs may participate in the SUDAC Assembly. If they do not represent their PI, they participate as observers.

Head of CLOC (HoCL):

The HoCL is decided by the respective CLOC. Granting of funds for CLOCs considers an appropriate distribution of leading houses between the different types of academic institutions. The HoCLs is responsible for planning, budgeting, reporting and the accounts of their CLOC. They include cooperation with partners from the Global South in their planning and budget. For their administrative tasks they are supported by the PC. All HoCLs may participate in the SUDAC Assembly. If they do not represent their PI, they participate as observers.

2) Milestones

2021	- Official start of SUDAC-2 with first General Assembly meeting. - Launch of further call for COFER. Presentation of existing COFERs describing 1-2 projects within their consoritum up for consolidation. - Information about role and engagement of SDC - Diversity assessment of the program as it stands. Decision about measures if necessary. - Full launch of the information platform (after initial testing phase during second half of 2020)
2022	- First assessment of running CLOCs (governance, in particular inclusion of Southern partners in planning) - Decision about call for further CLOCs.

	- Decision upon call for further CLOCs (depending on financial situation) - Brainstorming on future institutional format for sustainability of SUDAC.
2023	- Commitment and participation of Swiss academic member institutions assured for the future of SUDAC. - Participation of SDC assured for the future of SUDAC. - SUDAC included in research and international cooperation planning of relevant Swiss actors and institutions.
2024	- Institutional Sustainable set-up for SUDAC established. - Communication processes (conferences) and visibility (webpage) assured. - SUDAC functions as autonomous and self-organised structure. - Concluding conference of SUDAC as program of swissuniversities

7.5 **Nachhaltigkeit** (Wie werden die Aktivitäten nach Beendigung der Projektfinanzierung weitergeführt?)

The partner Higher Education Institutions (HEIs) will remain involved through their contributions to support the SUDAC network in Switzerland and the CLOCs in the Global South. SDC will continue to support selected activities in the CLOCs and also provide core funding to their functioning. The forthcoming research promotion instruments of SDC (call expected by early 2020) should allow for further contributions to CLOCs and to their Swiss partners.

Risks and reaction/prevention:

1) Only some of the involved HEIs might want to participate in the joint activities after SUDAC runs out: We would be looking for new partners outside of the existing network. This will already occur while SUDAC is ongoing. The network could also function with a reduced number of institutions.

2) SDC might not provide adequate core funding: We would enhance the cooperation on the level of project funding. We will interact with SDC on a continuous basis in order to enhance the probability that SDC will cooperate in the manner hoped for. We will also be looking for other (institutional) funding sources on a case by case basis (depending on the disciplines involved and the geographic location of the CLOC).

3) Alternative structures of cooperation among HEIs might emerge and prove more effective: should this be the case, we would abandon SUDAC once the program run out and join the other structure(s).

7.6 **Berücksichtigung der Ergebnisse aus dem Auswahlverfahren der Projektskizzen** (Nachweis der auf Grund der inhaltlichen Prüfung der Expert/innen und der hochschul- und finanzpolitischen Prüfung der Fachkonferenz verlangten Ergänzungen und Empfehlungen des Hochschulrats)

Additional information on the project governance was provided. It is based on the structures established during the project's first phase and includes more precisions on the functioning of CLOCs

Risks and prevention strategies were described.

More information was provided about the foreseen communication activities.

The project enjoys full support by SDC, which participated in the evaluation of the two calls of SUDAC-1 and which is involved in the implementation of several COFERS. SUDAC-2 aims at deepening SDC's structural involvement including a sustainable perspective beyond the program's end. However, SDC's commitments can formally not reach out beyond 4 years. A letter of support signed by the deputy-head of SDC (Thomas Gass) is attached to this proposal.

An overview of all SDC involvements in COFERS and CLOCs was established. Half (i.e. five) of the COFERS show existing or forthcoming collaborations with SDC: the COFERS "Academy2030", "EFORD" and "OneHealth" already have concrete upcoming cooperations. The first CLOCs were established in summer 2019. Three out of five CLOCs already had contacts with SDC.

Involvement of KFPE and its principles were described in more details. KFPE acts as advisory board of SUDAC.

Diversity is included thematically (SDG 5). Additionally, it is mentioned in several places where decisions and attributions of resources take place as well as in regard to processes and governance in general. To allow for a participatory and dialogical cooperation, we will keep the concepts of gender and diversity open in order to be generally applicable. They will themselves be critically inquired to serve as adaptive tools for evaluating bodies of knowledge and established forms of cooperation. As described, for each CLOC a board representing Swiss and Southern partners will be established to allow for a dialogical process of needs. We will also make it mandatory for each CLOC to formulate a statement how to ensure a multidirectional exchange in enhancing quality education. We will also ask for procedural devices to allow for a dialogical "order of interpretation" for the concepts and SDGs in use.

Milestones were carved out.

Financial planning was presented in more details.

The project already includes 15 Swiss institutions of higher education (Universities, ETH, Universities of applied sciences and universities of teacher's education), as well as two further Swiss entities. Each of the 10 COFERS has additional cooperation partners in the Global South (both academic and non-academic), which for formal reasons cannot be listed as SUDAC members. In view of the very limited funds available for the second phase, including further Swiss institutions as program partners would not be conducive to the achievement of the project's objectives. However, HEIs will have the opportunity to participate in possible new COFERS and to suggest activities with CLOCs. Finally, SUDAC is meant to develop into a "public good" for Swiss HEIs interested SDG related research and education activities. The end product should benefit to as many Swiss HEIs as possible. In the Global South, also non-academic partners should be included and benefit from the activities of the CLOCs.

8 Gesamtprojektkosten und Finanzierung

Die Gesamtprojektkosten (Betriebskosten) sind auf die zwei Haupt-Budgetrubriken Personal- und Sachkosten aufzuteilen. Falls der tatsächliche Einsatz der Mittel für die einzelnen Unterrubriken Sachkosten bei Projekteingabe noch nicht bekannt ist, muss er auf jeden Fall im jährlichen Reporting detailliert ausgewiesen werden.

In der Leistungsvereinbarung mit dem SBFI werden die projektgebundenen Beiträge HFKG anteilmässig auf die Rubriken Personal- und Sachkosten aufgeteilt. Bis zu 10% der Jahrestanche können im Projektverlauf von der einen Rubrik in die andere verschoben werden. Eine Verschiebung grösserer Beträge setzt die Zustimmung des Hochschulrats voraus.

	2021	2022	2023	2024	Total
Personalkosten (Koordination swissuniversities)	100'000	100'000	100'000	100'000	400'000
Apparate und Anlagen					
Übrige Sachkosten COFERs/CLOCs	1'200'000	1'200'000	600'000	200'000	3'200'000
Total Sachkosten	1'200'000	1'200'000	600'000	200'000	3'200'000
Gesamtprojektkosten	1'300'000	1'300'000	700'000	300'000	3'600'000
Finanzierung					
Projektgebundener Beitrag HFKG	700'000	700'000	400'000	200'000	2'000'000
Eigenleistungen der beitragsberechtigten Projektpartner (min. gleich hoch wie der Beitrag gemäss HFKG)	600'000	600'000	300'000	100'000	1'600'000
Andere Beiträge des Bundes (z.B. BFE, BAK, u.a.)					
Übrige Beiträge					
Total Finanzierung	1'300'000	1'300'000	700'000	300'000	3'600'000

Kommentar swissuniversities:

swissuniversities leistet im Rahmen des vorliegenden Projekts (sowie im Falle weiterer Projekte, für die projektgebundene Beiträge beantragt werden) eine bedeutende und wesentliche Koordinationsleistung. Diese Koordinationsleistung stellt namentlich die Koordination auf nationaler Ebene sicher und garantiert damit eine gesamtheitliche Information und den Einbezug sämtlicher Hochschulen. swissuniversities beantragt daher, von der Eigenmittelverpflichtung für diese Koordinationsleistungen entbunden zu werden – umso mehr, als dass sie nicht direkt von den Beiträgen profitiert, die im Rahmen der Calls vergeben werden. Die Kosten für die Koordination des vorliegenden Projekts sind unter Personalkosten aufgeführt.

Kommentar swissuniversities:

Die Aufteilung der projektgebundenen Beiträge auf die Partnerinstitutionen wird mittels kompetitiver Ausschreibungen zu bestehenden und neuen COFERs und CLOCs ermittelt.

9 Aufteilung des projektgebundenen Beitrages auf die Projektpartner

Mit dem Einverständnis der betroffenen Partnerinstitutionen kann die Aufteilung des projektgebundenen Beitrags auf die Projektpartner im Verlauf des Projektes verändert werden. Im jährlichen Reporting ist die tatsächliche Verteilung korrekt auszuweisen.

Beim Ausstieg eines Projektpartners oder der Beteiligung eines neuen Projektpartners ist die SHK bzw. das SBFI vorgängig zu informieren.

Kommentar swissuniversities:

Da Calls geplant sind, enthält die Tabelle lediglich eine Aufteilung der Mittel auf die 4 Jahren.

Hochschule / Institution	2021	2022	2023	2024	Total
Koordination swissuniversities	100'000	100'000	100'000	100'000	400'000
COFERs/CLOCs	600'000	600'000	300'000	100'000	1'600'000
Total	700'000	700'000	400'000	200'000	2'000'0000

Die Modalitäten der **Auszahlung** der projektgebundenen Beiträge durch das SBFI werden in der Leistungsvereinbarung definiert.

10 Zugesicherte Eigenmittel der einzelnen Projektpartner

Die Hochschulen und anderen Institutionen des Hochschulbereichs erbringen gesamthaft eine Eigenleistung, die mindestens dem Bundesbeitrag entspricht. Davon ist mindestens die Hälfte als Real money zu erbringen. Die andere Hälfte kann als Virtual money ausgewiesen werden. In Ausnahmefällen kann bei Projektpartnern, die eine wesentliche Koordinationsleistung erbringen, auf eine Eigenleistung verzichtet werden; diese Entscheidung obliegt dem SBFI (vgl. Art. 49 Abs. 2 V-HFKG).

Kommentar swissuniversities:

Die Beteiligung und Mitwirkung an der SUDAC Assembly wird von den Hochschulen mit einer Eigenleistung von CHF 20'000 pro Jahr unterstützt (50% real / 50% virtual money).

Daraus resultieren CHF 960'000 an Eigenmitteln, welche bereits von den Hochschulen zugesichert sind. Weitere Eigenmittel in der Höhe von CHF 1.6 Mio (siehe Kapitel 8) werden von den Hochschulen zusätzlich entsprechend ihrer Mitwirkung an COFERs und CLOCs erbracht.

Die CHF 960'000 aus den Assembly-Mitgliederbeiträgen werden vor allem für den Aufbau der CLOCs-Netzwerke verwendet. Die Information Platform wird auch durch die Assembly-Mitgliederbeiträge finanziert. Ein Teil der Beträge für 2021 und 2022 steht bereits fest (Siehe Tabelle unten). Die restlichen Mittel werden per Ausschreibung vergeben.

	2021	2022
CLOC West Africa	32'500	
CLOC Conéctate-A+	35'500	
CLOC DEAR MENA	37'500	
CLOC Knowledge2Action	25'000	
CLOC Horn of Africa	75'000	75'000

Hochschule / Institution	Real money	Virtual money	Total	Der Anteil „Virtual money“ wird in der folgenden Form ausgerichtet
Unibas	40'000	40'000	80'000	
Unibe	40'000	40'000	80'000	
UNIGE	40'000	40'000	80'000	
UZH	40'000	40'000	80'000	
USI	40'000	40'000	80'000	
EPF Lausanne	40'000	40'000	80'000	
ETH Zürich	40'000	40'000	80'000	

BFH	40'000	40'000	80'000	
FHO	40'000	40'000	80'000	
HES-SO	40'000	40'000	80'000	
SUPSI	40'000	40'000	80'000	
UTEs	40'000	40'000	80'000	
Total Eigenmittel	480'000	480'000	960'000	Einsatz und Mitwirkung in der „SUDAC Assembly“

Erklärung zum Begriff Eigenmittel (Real money und Virtual money):

Die Eigenleistungen können als Geld- oder Sachleistungen erbracht werden. Mindestens die Hälfte der Eigenleistung ist als Geldleistung zu erbringen.

Als Geldleistung (**Real money**) gilt die Finanzierung von Projektkosten, die beim Projektteilnehmer durch die Projektteilnahme zusätzlich zu den normalen laufenden Ausgaben entstehen. Diese umfassen

- Personalkosten einschliesslich Sozialleistungen;
- Sachkosten für Apparate und Anlagen, Betriebsmittel, Kosten für speziell angemietete Räumlichkeiten, Tagungs- und Reisekosten.

Als Sachleistungen (**Virtual money**) können Aufwendungen für bestehende Personalressourcen, Apparate und Anlagen und Betriebsmittel in dem Ausmass angerechnet werden, in dem sie dem Projekt eindeutig zugeordnet und belegt werden können. Die Leistungen von Mitarbeitenden, die über nationale Förderprogramme (z.B. SNF) finanziert sind, gelten als Sachleistungen.

11 Unterschriften

Die unterzeichnenden Rektor/innen, Präsident/innen und Direktor/innen bestätigen mit ihrer Unterschrift, die unter Punkt 10 zugesicherten Eigenmittel zu erbringen sowie die Nachhaltigkeit und damit auch die längerfristige Finanzierung über die BFI-Periode 2021-2024 hinaus zu sichern.

Für den Hauptantragsteller der projektgebundenen Beiträge nach HFKG:

Ort und Datum:

Bern, 6.01.2020

.....

Der/die Projektleiter/in

.....

Ort und Datum:

.....

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

.....

Projekttitle: SUDAC 2 - swissuniversities development and cooperation network

Für die Projektpartner:

Ort und Datum:

Zürich 28.11.2015

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

A. Gr. - L.

Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

Projekttitle: SUDAC 2 - swissuniversities development and cooperation network

Für die Projektpartner:

Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

Bern, 20.11.2019

Dr. R. R. R.

Ort und Datum:

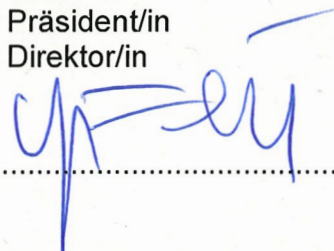
Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

Projekttitel: SUDAC 2 - swissuniversities development and cooperation network**Für die Projektpartner:**

Ort und Datum:

Genf, 29.11.19

Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/inDer/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

Projekttitle: SUDAC 2 - swissuniversities development and cooperation network**Für die Projektpartner:**

Ort und Datum:

Zürich, 18.11.2019

Der/die Rektor/in

Der/die Präsident/in

Der/die Direktor/in

Michael Hengartner

Ort und Datum:

Der/die Rektor/in

Der/die Präsident/in

Der/die Direktor/in

Projekttitle: SUDAC 2 - swissuniversities development and cooperation network**Für die Projektpartner:**

Ort und Datum:

~~Der/die Rektor/in~~~~Der/die Präsident/in~~~~Der/die Direktor/in~~

Lugano, 5-12-2019

B. Eriz

Ort und Datum:

Der/die Rektor/in

Der/die Präsident/in

Der/die Direktor/in

Projekttitle: SUDAC 2 - swissuniversities development and cooperation network**Für die Projektpartner:**

Ort und Datum:

Lausanne, 6.2.20

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

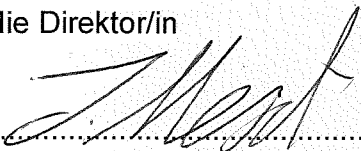
Projekttitel: SUDAC 2 - swissuniversities development and cooperation network

Für die Projektpartner:

Ort und Datum:

Zürich, 21.11.2019

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in



Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

.....

.....

Projekttitle: SUDAC 2 - swissuniversities development and cooperation network**Für die Projektpartner:**

Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

Bern, 3. 12. 2013

H. Mugin

Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

Projekttitle: SUDAC 2 - swissuniversities development and cooperation network**Für die Projektpartner:**

Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in**Fachhochschule Ostschweiz**
Dr. Albin Reichlin, Direktor
Bogenstrasse 7
9000 St.Gallen

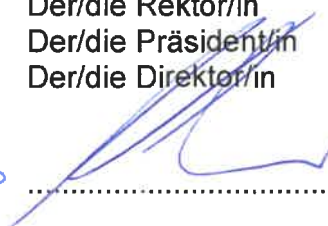
St. Gallen, 15. November 2019



Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

Zappewil, 18. November 19



Projekttitle: SUDAC 2 - swissuniversities development and cooperation network

Für die Projektpartner:

Ort und Datum:

Delémont, 19.11.2019

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in



Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

Projekttitle: SUDAC 2 - swissuniversities development and cooperation network**Für die Projektpartner:**

Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
~~Der/die Direktor/in~~

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Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

.....

.....

Projekttitel: SUDAC 2 - swissuniversities development and cooperation network

Für die Projektpartner:

Ort und Datum:

Delémont, 2.12.19

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in




Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

.....

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10. Dez. 2019

Projekttitel: SUDAC 2 - swissuniversities development and cooperation network

Für die Projektpartner:

Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

Zürich, 9.12.19



Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

Projekttitel: SUDAC 2 - swissuniversities development and cooperation network
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Für die Projektpartner:

Ort und Datum:

Luzern, 16. November 2019

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

H. D. Schärer

Ort und Datum:

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

.....

.....

Projekttitel: SUDAC 2 - swissuniversities development and cooperation network

Für die Projektpartner:

Ort und Datum:

Zürich, 19. November 2019

.....

Ort und Datum:

.....



**PÄDAGOGISCHE
HOCHSCHULE
ZÜRICH**

**REKTORAT
LAGERSTRASSE 2, 8090 ZÜRICH**

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

[Signature]

Der/die Rektor/in
Der/die Präsident/in
Der/die Direktor/in

.....

Projekttitle: SUDAC 2 - swissuniversities development and cooperation network

Für die Projektpartner:

Ort und Datum:

Zug, 14.11.19

Ort und Datum:

Der/die Rektor/in

Der/die Präsident/in

Der/die Direktor/in

Pädagogische Hochschule Zug

Rektorat

Zugerbergstrasse 3

6300 Zug

E. Kneuer

Der/die Rektor/in

Der/die Präsident/in

Der/die Direktor/in

Der Antrag ist **durch swissuniversities** einzureichen bis spätestens **29. Februar 2020** an folgende Adresse (auf Papier und in elektronischer Version):

- Schweizerische Hochschulkonferenz, Ressort SHK, Einsteinstrasse 2, 3003 Bern
- shk-cshe@sbfi.admin.ch

25.09.2019 SBFI/diu



Schweizerische Eidgenossenschaft
Confédération suisse
Confederazione Svizzera
Confederaziun svizra

Département fédéral des affaires étrangères DFAE
Direction du développement et de la coopération DDC
Coopération Sud

swissuniversities

25. Sep. 2019

CH-3003 Bern, DEZA

Courrier A
swissuniversities

Monsieur
Prof. Dr. Michael O. Hengartner
Effingerstrasse 15
Case postale
3001 Bern
Suisse

Berne, le 24 septembre 2019

Lettre de soutien

Monsieur,

La DDC suit avec grand intérêt le programme SUDAC. Nous avons participé à la sélection des projets (COFERs) durant la première phase et nous soutenons directement certaines initiatives à travers nos bureaux de coordination sur place. Le renforcement des partenariats de recherche et d'éducation entre les institutions académiques suisses et leurs pendants dans les pays du Sud contribue aux objectifs de la DDC et répond également aux ODDs. La même chose vaut pour l'approche visant à l'établissement de cluster régionaux (CLOCs) de SUDAC.

Etant donné cette perspective commune, je confirme l'intérêt de la DDC à poursuivre les échanges avec SUDAC et ses partenaires au courant d'une éventuelle seconde phase de SUDAC ainsi qu'au-delà de la durée du programme. Les modalités de soutien de la DDC au réseau SUDAC au-delà de 2024 dépendra des résultats des deux premières phases en terme de contribution au développement et de la structure mise en place par les institutions académiques.

Nous nous réjouissons de poursuivre le dialogue avec les représentants du programme SUDAC.

Meilleures salutations
Direction du développement et de la coopération DDC

Thomas Gass
Vice-Directeur

Direction du développement et de la coopération DDC
Coopération Sud
Thomas Gass
Freiburgstrasse 130, 3003 Berne
Tél. +41 58 467 18 63
www.deza.admin.ch